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PTO/SB/21 (modified)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO
Rev. 10/95

PATENT & TRADEMARK OFFICE

TRANSMITTAL FORM

(to be used for all correspondence during pendency of
filed application)

U.S. Department of Commerce Patent and Trademark Office		Application Number	09/888,212	
		Filing Date	June 22, 2001	
		First Named Inventor	Kwok Hung Si	
		Group Art Unit Number	2182	
		Examiner Name	Not Yet Known	
Total Number of Pages in This Submission		9	Attorney Docket Number	20880-05629

ENCLOSURES (check all that apply)

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MAY 07 2002

Technology Center 2100

<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Check Enclosed	<input type="checkbox"/> Letter to Chief Draftsperson
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Formal Drawing(s):
<input type="checkbox"/> Response to Notice to File Missing Parts and Change of Small Entity Status Under 37 CFR 1.28(c)	<input type="checkbox"/> [] Sheet(s) of Figure(s) []
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Information Disclosure Statement & PTO-1449	<input checked="" type="checkbox"/> Copy of Updated Filing Receipt
<input type="checkbox"/> Copies of IDS Cited References	<input checked="" type="checkbox"/> Copy of Application Data Sheet
<input checked="" type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/>
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input type="checkbox"/>
<input type="checkbox"/> Amendment/Response: [] Page(s)	<input type="checkbox"/>
<input type="checkbox"/> After Final	<input type="checkbox"/>
<input type="checkbox"/> Status Request	<input type="checkbox"/>
<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/>

REMARKS:

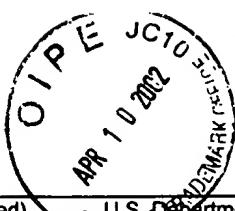
SIGNATURE OF ATTORNEY OR AGENT

Signature:	
Attorney/Reg. No.:	John T. McNelis/Reg. No. 87,186
Dated: 26 March 2002	

CERTIFICATE OF MAILING

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Signature:	
Typed or Printed Name:	John T. McNelis
Dated: 26 March 2002	
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PTO/SB/17 (10-01)(modified)
OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified)
Rev. 10/2001

U.S. Department of Commerce
PATENT AND TRADEMARK OFFICE

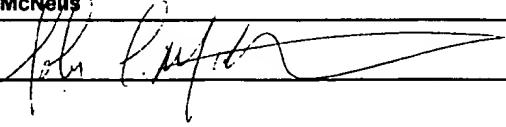
Complete if Known

Application Number	09/888,212
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First Named Inventor	Kwok Hung Si
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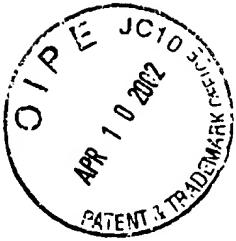
FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$)**

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																						
<p>1. The Commissioner is hereby authorized to:</p> <p><input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account.</p> <p><input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.¹</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p> <p>Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP</p> <p>A Duplicate Copy of this authorization is attached</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Other</p>																																																								
<p>FEE CALCULATION (fees effective 10/01/2001)</p> <table border="1"> <thead> <tr> <th colspan="4">1. FILING FEE</th> </tr> <tr> <th>Large Entity Fee Code/Fee</th> <th>Small Entity Fee Code/Fee</th> <th>Fee Description</th> <th>Fee Due</th> </tr> </thead> <tbody> <tr> <td>101/\$740</td> <td>201/\$370</td> <td>Utility Filing</td> <td><input type="text"/></td> </tr> <tr> <td>106/\$330</td> <td>206/\$165</td> <td>Design Filing</td> <td><input type="text"/></td> </tr> <tr> <td>108/\$740</td> <td>208/\$370</td> <td>Reissue</td> <td><input type="text"/></td> </tr> <tr> <td>114/\$160</td> <td>214/\$80</td> <td>Provisional Filing</td> <td><input type="text"/></td> </tr> <tr> <td align="right" colspan="2">SUBTOTAL (1)</td> <td align="right">(\$) .00</td> <td colspan="3"></td> </tr> </tbody> </table> <p>2. CLAIMS</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code/Fee</th> <th>Small Entity Fee Code/Fee</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr> <td>103/\$18</td> <td>203/\$9</td> <td>Claims in excess of 20</td> </tr> <tr> <td>102/\$84</td> <td>202/\$42</td> <td>Independent claims in excess of 3</td> </tr> <tr> <td>104/\$280</td> <td>204/\$140</td> <td>Multiple dependent claim</td> </tr> <tr> <td>109/\$84</td> <td>209/\$42</td> <td>Reissue independent claims over original patent</td> </tr> <tr> <td>110/\$18</td> <td>210/\$9</td> <td>Reissue claims in excess of 20 and over original Patent</td> </tr> <tr> <td align="right" colspan="2">SUBTOTAL (3)</td> <td align="right">(\$) .00</td> </tr> </tbody> </table>						1. FILING FEE				Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	101/\$740	201/\$370	Utility Filing	<input type="text"/>	106/\$330	206/\$165	Design Filing	<input type="text"/>	108/\$740	208/\$370	Reissue	<input type="text"/>	114/\$160	214/\$80	Provisional Filing	<input type="text"/>	SUBTOTAL (1)		(\$) .00				Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	103/\$18	203/\$9	Claims in excess of 20	102/\$84	202/\$42	Independent claims in excess of 3	104/\$280	204/\$140	Multiple dependent claim	109/\$84	209/\$42	Reissue independent claims over original patent	110/\$18	210/\$9	Reissue claims in excess of 20 and over original Patent	SUBTOTAL (3)		(\$) .00
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<p>SUBMITTED BY</p> <p>Typed or Printed Name John T. McNelis</p>						<i>Complete (if applicable)</i>																																																		
						Reg. Number	37,186																																																	
Signature						Date	26 March 2002																																																	

¹Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby
20880/05629/DOCS/1256468.1



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APPLICANT(S): Kwok Hung Si and Tibet Mimaroglu

APPLICATION NO.: 09/888,212

FILING DATE: June 22, 2001

TITLE: Universal Serializer/Deserializer

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EXAMINER: Not Yet Known

MAY 07 2002

GROUP ART UNIT: 2182

Technology Center 2100

ATTY. DKT. NO.: 20880-05629

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Dated: 26 March 2002

By: John T. McNelis
John T. McNelis, Reg. No.: 37,186

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WASHINGTON, DC. 20231

REQUEST FOR CORRECTED FILING RECEIPT

SIR:

Enclosed is a copy of the Updated Filing Receipt. It contains the following error:

1. The above-referenced application claims the benefit of 60/250,781 filed on December 1, 2000, as evidenced by the Application Data Sheet, a copy of which is enclosed. This Filing Receipt should be corrected to indicate this missing Continuing Data.

Please issue a corrected Filing Receipt rectifying this error.

Respectfully submitted,

KWOK HUNG SI & TIBET MIMAROGLU

Dated: 26 March 2002

By: 
John T. McNelis, Reg. No.: 37,186
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/888,212	06/22/2001	2182	870	20880-05629	7	1	1

00758
FENWICK & WEST LLP
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306

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CONFIRMATION NO. 8404
UPDATED FILING RECEIPT



OC00000007547760

FENWICK & WEST LLP

Date Mailed: 02/27/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kwok Hung Si, Sunnyvale, CA;
Tibet Mimaroglu, Sunnyvale, CA;

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MAY 07 2002

Technology Center 2100

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/213,745 06/22/2000
AND CLAIMS BENEFIT OF 60/251,468 12/04/2000
AND CLAIMS BENEFIT OF 60/250,731 12/01/2000

60/250,781

Foreign Applications

If Required, Foreign Filing License Granted 08/15/2001

Projected Publication Date: 06/06/2002

Non-Publication Request: No

Early Publication Request: No

Title

Universal serializer/deserializer

Preliminary Class

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Bib Data Sheet

CONFIRMATION NO. 8404

SERIAL NUMBER 09/888,212	FILING DATE 06/22/2001 RULE	CLASS 710	GROUP ART UNIT 2182	ATTORNEY DOCKET NO. 20880-05629
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APPLICANTS

Kwok Hung Si, Sunnyvale, CA;
Tibet Mimaroglu, Sunnyvale, CA;

**** CONTINUING DATA *******

THIS APPLN CLAIMS BENEFIT OF 60/213,745 06/22/2000
AND CLAIMS BENEFIT OF 60/251,468 12/04/2000
AND CLAIMS BENEFIT OF 60/250,781 12/01/2000

**** FOREIGN APPLICATIONS *********IF REQUIRED, FOREIGN FILING LICENSE GRANTED**

** 08/15/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 7	TOTAL CLAIMS 1	INDEPENDENT CLAIMS 1
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature Initials				

ADDRESS

00758

TITLE

Universal serializer/deserializer

FILING FEE RECEIVED 870	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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